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## PATENT--NO FEE

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

ANIL GULATI

Serial No.: 10/691,915

Filed: October 23, 2003

For: METHOD AND COMPOSITION FOR PREVENTING AND TREATING SOLID TUMORS

Attorney Docket No. 27611/38802A

Group Art Unit: 1614

Examiner: Unassigned

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage, as first class mail, in an envelope addressed to:
Commissioner for Patents P.O. Box 1450
Alexandria, VA 22313-1450

Dated: May 27, 2004

James J. Napoli

Registration No. 32,361 Attorney for Applicants

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. \$1.56, applicant hereby brings to the examiner's attention patents and publications that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. \$1.97 and \$1.98, applicant encloses a completed Form PTO-1449 listing the possible pertinent patents and publications and a copy of each non-U.S. patent and publication.

This Supplemental Information Disclosure Statement is submitted more than three months after the filing date of the above-identified application, and,

to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R. §1.97(b), this Supplemental Information Disclosure Statement shall be considered by the Patent Office.

In addition, if a first Office Action has been mailed, each item of information contained in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement (37 C.F.R. \$1.97(e)(1)). Accordingly, no fee as set forth in 37 C.F.R. \$1.17(p) is due.

The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

A copy of the International Search Report is enclosed for the convenience of the examiner and to complete the file. Two references cited in the International Search Report are not cited in this Supplemental Information Disclosure Statement. These references were cited in the Information Disclosure Statement mailed on March 19, 2004.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

Ву

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Chicago, Illinois May 27, 2004



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known			
Application Number	10/691,915		
Filing Date	October 23, 2003		
First Named Inventor	Anil Gulati		
Group Art Unit	1614		
Examiner Name	Unassigned		
Attorney Docket Number	27611/38802A		

U.S. PATENT DOCUMENTS			
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY
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FOREIGN PATENT DOCUMENTS				
Examiner Cite No.		Foreign Patent Document	Publication Date MM-DD-YYYY	
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		OTHER PRIOR ART - NONPATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		K. Kikuchi et al., <i>Biochemical and Biophysical Research Communications, Vol. 219</i> , No. 3, 734-739 (1996).		
		A. Rai et al., Cancer Chemother. Pharmacol., Vol. 51, 21-28 (2002).		
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		K.M. Bell et al., Journal of Cardiovascular Pharmacology, Vol. 26, No. s3, s222-s225 (1995).		
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		J.M. Kroodsma et al., Nederlands Tijdschrift Voor Geneeskunde, Vol. 141, No. 38, 1-3 (1997).		
		B. Battistini et al., Drug News & Perspectives, Vol. 8, No. 6, 365-391 (1995).		
		A.P. Davenport et al., Clinical Science, Vol. 103, No. S48, 1S-3S (2002).		
	<u> </u>			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.